

Vessel Boarding and Inspection Procedures

NOAA FISHERIES

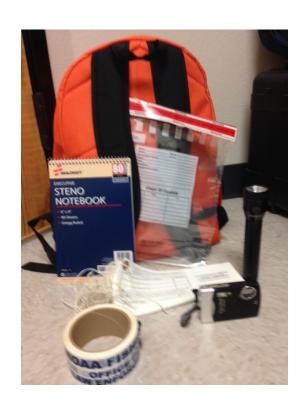
Office of Law Enforcement

Port State Measures Inspector Training Workshop Lima, Peru

21-30 January

Equipment for Boarding

- Camera or Video Camera
- Flashlight
- Watch
- Measuring tape
- Notebook
- Calculator
- Copy of applicable fisheries regulations
- Chart of local waters.
- Fish ID guide
- Plastic seizure bags for evidence.
- Seizure tag receipts
- Vessel VMS/AIS plot (if available)
- Identification
- Chart of Maritime Zones and EEZs
- Language Cards





Personal Protective Equipment

- Body armor
- Intermediate weapon (e.g., baton, pepper spray)
- Handcuffs or cable ties
- Personal flotation device (at sea)
- Knife
- Communication (radio/cell phone)





Personal Protective Equipment

- Reflective wear
- Hard hat
- Steel toe boots
- Latex gloves
- Protective gloves
- Flashlight





Before the Boarding

- Check for vessel priors
- Check relevant RFMO Record of Vessel and IUU Vessel Lists
- Owner information
- Catch history
- Criminal history if master or crew are known.



Información que los buques que soliciten la entrada en puerto deben facilitar con carácter previo

1. Puerto de esca	ala previs	sto		
2. Estado rector	del puer	to		
3. Fecha y hora	previstas	de llegada		
4. Finalidad				
5. Puerto y fecha	a de la úl	tima escala		
6. Nombre del b	uque			
7. Estado del pa	bellón			
8. Tipo de buque	е			
9. Señal de radio	ollamada	internacional		
10. Información	de conta	cto del buque		
11. Propietario(s) del buo	jue		
12. Identificado	r del certi	ificado de registro		
13. Identificado	r OMI de	l buque, si está dis	ponible	
14. Identificado	r externo	, si está disponible		
15. Identificado	r de la Ol	ROP, si procede		
16. SLB/VMS	No	Sí: Nacional	Sí: DRO	Tipo P



Información que los buques que soliciten la entrada en puerto deben facilitar con carácter previo

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Before the Boarding: General Observations

- Vessel type
- Vessel location
- Gear in the water (take photos)
- Fish on deck
- Number of crew on deck



Remember... Preparation



- What is your authority?
- The most important thing is safety.
- Your safety, the crew's safety and the vessel safety.
 Once boarded, the Captain, Crew, Vessel and all aspects are your responsibility.
 Secondly, our Job is to find information and to be able to prove facts.

Be prepared.
Be Knowledgeable.
Be Confident!



Pre-Inspection Plan

- Every Effort shall be made by the boarding officer and team to be courteous and respectful to the crew
- At the same time it is essential that the crew realize and understand the Boarding Party's authority and duties.
- Your primary focus of the boarding is to ensure that the vessel is in compliance with the terms and conditions of their license agreements.
- After making a communication link with the crew, Lead Boarding officer will request ships documents from the captain for verification and inspection, as boarding team initiates inspection of gear, catch and spaces.



Inspection Procedures

Use a Vessel Boarding Checklist - a tool which:

- Prevents the officer or inspector from missing relevant information.
- Ensures consistency...same procedure is used when boarding every vessel.





Bo	parding statement of the statement of th
	Identify yourself to the vessel master, provide your credentials, and explain your reason for boarding (e.g., all
	foreign vessels are subject to the Port State Measures Agreement (PSMA) Act.
	Board and survey the vessel for safety
	Muster and Control the crew
	Inspect the vessel for relevant RFMO
	Interview the vessel master (captain)
	Ask the master lots of questions about their trip and activities.
	Where did they fish?
	How many sets did they do?
	Did they catch as much as they expected?
	What was the weather like
	Request and examine the following documents from the vessel master:
	Crew List and passports
	Masters Name and address
	Fishing Master's name and address
	Names and nationalities of the crew
	Vessel Agent name and contact Information
	Document all indications of ownership and ownership interests:
	RFMO records
	Vessel documents
	Fishing / transshipment authorizations
	Any corporate documents
	Information on joint ventures and other companies with an interest in the vessel or licenses and permits
	**Verify that ownership information is consistent on all documents
	Analyze logbook data and verify positions against electronic data.
	Other Documents to examine:
	Captain's Notebook
	Fish Master's Journal
	FAD Log (purse seine)
	Engine Room Log
	Freezer Log
	Bunker Receipts
	Mate's Receipts
	Radio Log
	Crew Bonus Log



Wastewater Log
Radio Log
Check that VMS unit is on.
Examine the catch onboard and compare to the logbooks (records)
Conduct an inspection of the vessel's holds, fridges, freezers, deck and below decks area to assess the quantity and type of catch on-board.
Check the general layout of the vessel and the dimensions of holds and look for any evidence of hidden holds or
hidden compartments within holds.
Records quantities of non-target catch and prohibited catch or fish parts.
Verify against your inspection notes concerning catch onboard the vessel and from the offload.
Ask questions about any discrepancies or unusual findings or observations.
Determine the total catch obtained using fishing logs and transshipment data (received catch).
Determine the total catch offloaded and/or retained by the vessel.
Compare the two quantities to ensure accurate reporting by:
Species
Weight
Product types
Ensure gear is properly stowed
Search for RFMO Conservation & Management Measures (CMMs) such as, but not limited to:
Fishing Authorizations/Permits (WCPFC, CMM 2013-10)
Transshipment permits and records (WCPFC, CMM 2009-06 and IOTC 14/06)
VMS (WCPFC, CMM 2014-02 and IOTC 15/03)
Vessel markings and identification (WCPFC, CMM 2004-03), (FAO).
Daily catch and effort reporting (IOTC 15/01) applies to all vessels → WCPFC is purse seine only
Data buoys (WCPFC, CMM 2009-05 and IOTC 11/02)
FAD closures and catch retention (WCPFC CMM 2009-02 and IOTC 15/08)
Gear Markings (IOTC 15/04)
Sharks (WCPFC, CMM 2010-07 and IOTC 13/05, 13/06)
Oceanic Whitetip Sharks (WCPFC, CMM 2011-04) WCPFC only
Silky Sharks (WCPFC, CMM 2013-08) WCPFC only
Regional Observer Program (ROP) Requirements(WCPFC, CMM 2006-08 & 2007-01 and IOTC 11/04)
Conservation and management measure addressing the impact of purse seine activity on cetaceans- dolphins, whales, and porpoises (WCPFC, CMM 2011-13 and IOTC 13/04)
Whale Sharks(WCPFC, CMM 2011-04 and IOTC 13/05) (purse seines only)
No shark fins on board
References
WCPFC: https://www.wcpfc.int/conservation-and-management-measures
IOTC: http://www.iotc.org/



Before Boarding the Vessel

- Document vessel name and markings.
- Take photos of vessel and gear.
- Vessel markings may include:
 - U.S. Documentation number.
 - Port of Registry.
 - IMO number.

If no markings: look for engine ID plate.



Vessel Markings





Boarding the Vessel

- Ask the master to muster the crew on deck.
 - Secure the crew.
- The lead officer or inspector goes to the bridge while team members perform a vessel security check.





Boarding the Vessel: Meet with Master

- Show your identification and introduce yourself.
- Explain what you and the boarding team will be inspecting.
- Ask the master for relevant documents.



Interview the Master

Possible Questions:

- When did you leave port?
- Where is your next port stop?
- Who owns the vessel?
- What species have you been targeting?
- Did you transship any fish?



*Follow the boarding form but think beyond the form.



Documents to Review

- Certificate of Registration
- Crew List
- Ship's Particulars
- Fishing Licenses/Permits
- Catch Report
- Ship's Log
- Master's personal logbook

Note: If you are unsure of what you are looking at, take a photograph!



Certificate of Registration





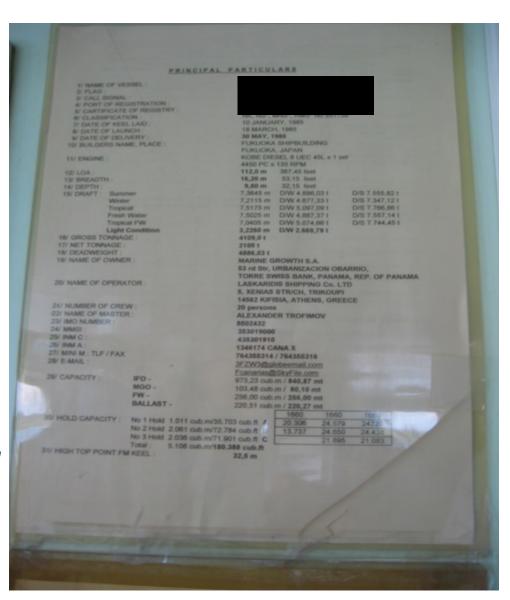
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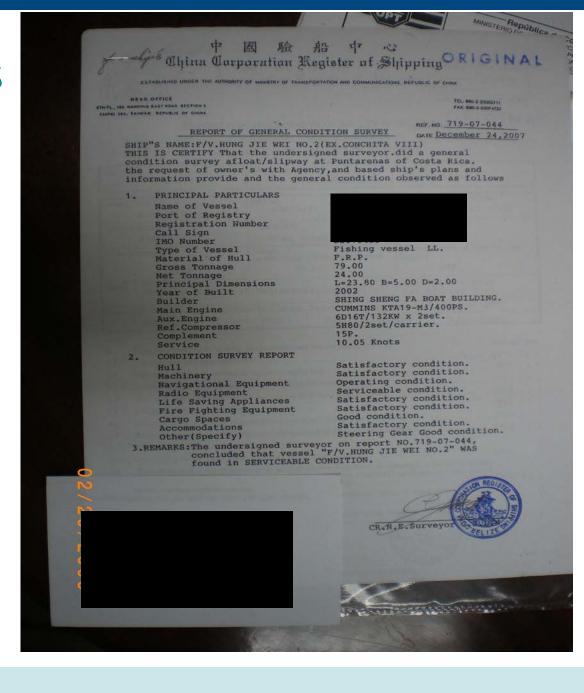
Ships Particulars

- Vessel Name
- Flag, Call Signal
- Port of Registration, Certificate of Registry
- Classification, Builder Name
- Engine Type, LOA, Breadth, Draft, Gross Tonnage, Net Tonnage
- Names of Owner, Operator, Master, Crew
- IMO Number
- Hold Capacity



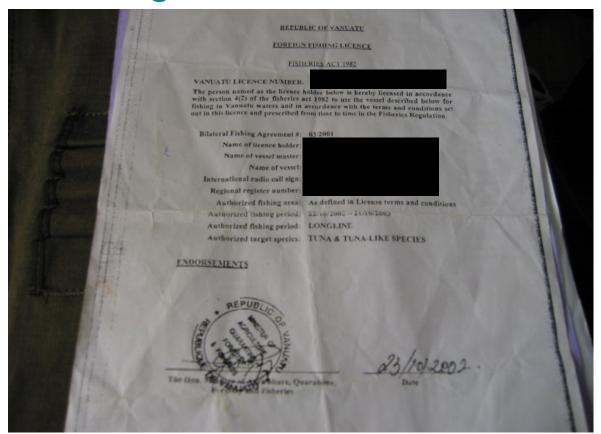


Ships Particulars





Fishing License







Fishing License

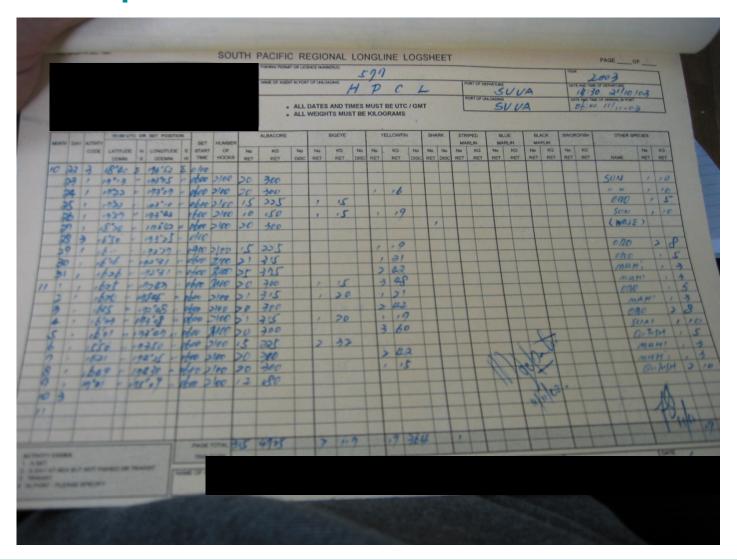
FORM NORMA 02-2 FOREIGN FISHING PERMIT FOR THE EXCLUSIVE ECONOMIC ZONE OF THE FEDERATED STATES OF MICRONESIA PERMIT NO: EFFECTIVE DATE: 14-November-2008 EXPIRY DATE: 13-May-2009 VESSEL NAME: NAME OF PERMIT HOLDER: TYPE OF VESSEL: RADIO CALL SIGN: COUNTRY OF REGISTRATION: Talwan REGISTRATION NUMBER: YEAR BUILT: 1995 CROSS TONNAGE: 64.78 LENGTH: 17.65 ENGINE HORSEPOWER: 600 AUTHORIZED CREW SIZE: 10 PORTS WHERE CATCH MAY BE DISCHARGED: A. FSM B. DESIG. PORTS **OPERATING CONDITIONS ARE ON THE REVERSE SIDE OF THIS PERMIT** 12-November-2008 DATE ISSUED: MATIONAL OCEANIC RESOURCES MANAGEMENT AUTHORITY PERMIT SHALL BE PROMINENTLY DISPLAYED IN WHEELHOUSE OF THE VESSEL

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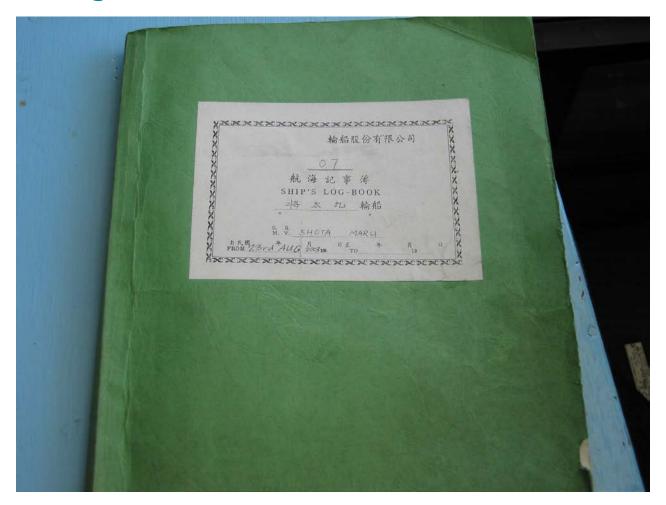


Catch Report



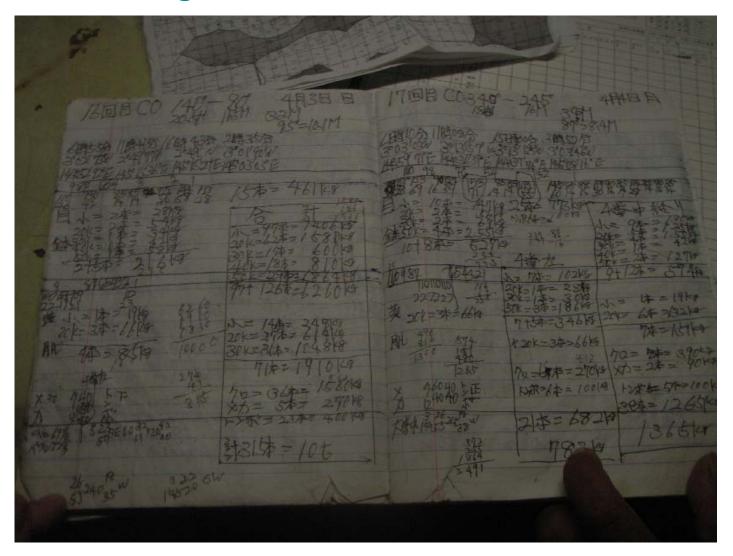


Ship's Log





Personal Logs





VMS





Radar and VMS Antenna





Fishing Gear

- Examine all fishing gear onboard for compliance with applicable regulations.
- Check features such as: mesh size, configuration of nets, pots, dredges, hook sizes and numbers.





Fish

- Examine the fish to determine species composition.
- If observers are on board, ask them questions about the catch.





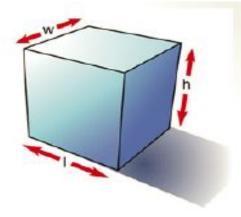
Volume Calculations

- How big is the hold?
- How much fish is there?





Measuring a hold



- Length x width x height to get cubic metres (m³)
- Example: $4.5 \times 3.2 \times 3.8 = 54.7 \text{ m}^3$
- Estimate the area of the hold filled with fish to get a rough estimate of fish
- If quarter full is 13.6 m³ of fish x 1080 kg/m³ = 14.7 tonnes
- Take off estimate of air space between fish of 20% and 30%
- This gives us a range of 10.2 and 11.7 tonnes
- This is a check estimate to check against log books



Processed Fish...

Species	Whole (WHL)	Gutted (GUT)	Gutted and head off (GUH)
Tunas	1.00	1.16	1.36
Marlin	1.00	1.10	1.30
Sharks	1.00	1.1	1.20

- Calculate if there is processed fish.
- If fish is gutted, use a factor of 1.16.
- If 100 tons is offloaded, convert the value to match what is in the logbook.
- 100 tons x 1.16 = 116 tons caught weight.



Volume Calculations

How big is the hold? (8 x 8 x 2.5 m)

How much fish is there? (1,080 kg/m³, 70%)

density)





The Calculation... Whole vs Gutted Weight

Full hold size $8 \times 8 \times 2.5 \text{ m} = 160 \text{ m}^3$

- $160 \times 1080 \text{ kg/m}^3 = 172,800 \text{ kg } (172.8 \text{ tons})$
- 172.8 minus air space = (30% 121 tons) or (20% 138 tons)

If 115 tons of gutted fish is offloaded, use the conversion factor of 1.16 to estimate whole weight to compare with logbook.

115 x 1.16 = 133 tons caught weight



Vessel Inspection Form

- Complete the entire form.
- Indicate if information was not available or not applicable.
- Provide the master with a general summary of the inspection and a copy of the vessel inspection form.

Note: If any documents or catch will be seized, provide the master with a property receipt.



AMERP Anexo C Informe de 05 Resultados de la Inspección

1. Informe de						2. Estac	io rector	del pue	rto		
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AMERP Anexo C Informe de 05 Resultados de la Inspección

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40. Medidas	adoptadas									
41. Firma de	l capitán o patro	ón								
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42. Firma de	l inspector									
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After the Boarding:

- Review information with boarding team for accuracy.
- Ensure you have all necessary documents and photos.
- The lead officer or inspector writes one report based on information provided by boarding team.



Be Prepared...

Any information you obtain about the vessel before the boarding will:

Save time, increase safety, and help you know what to expect.

Use Your Time Wisely...

Be thorough while on board. Once you leave the vessel, evidence may be destroyed.



Questions?

