



**NOAA
FISHERIES**

Office of Law
Enforcement

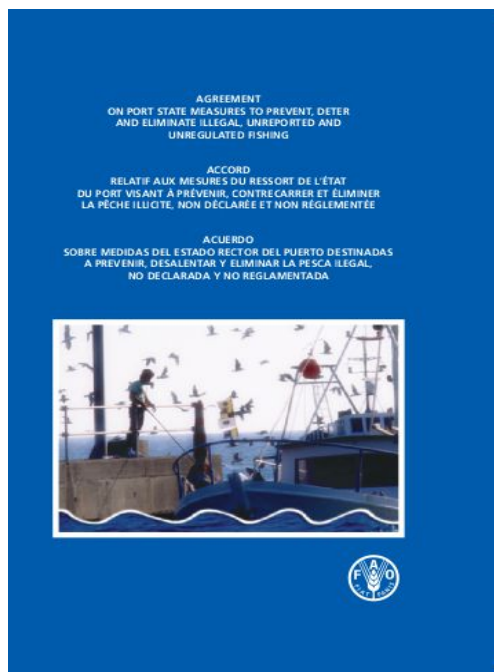
Conducting Risk Assessments and Prioritizing Inspections

Port State Measures Inspector Training Workshop
Lima, Peru

21-30 January 2020

Information to be provided in advance by vessels requesting port entry

Annex A – Basis for IUU Fishing Risk Analysis



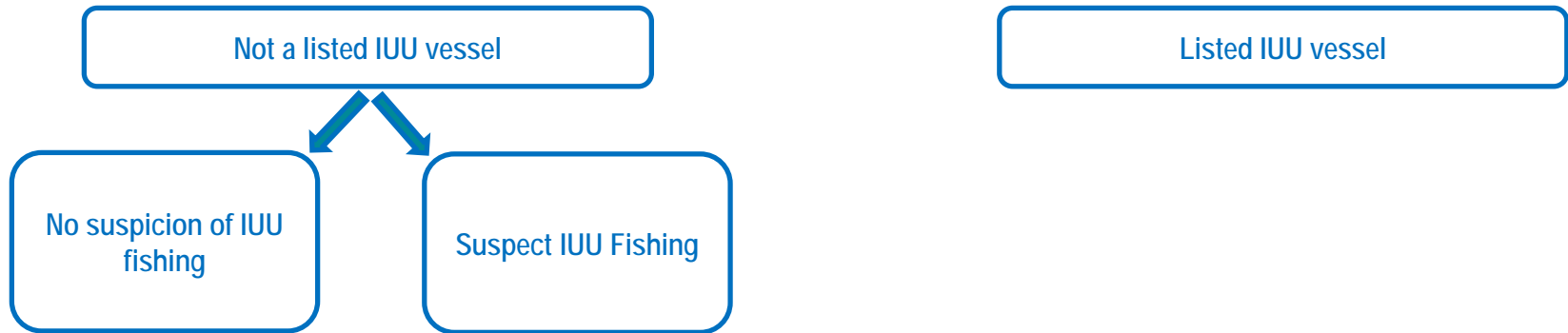
1. Intended port of call									
2. Port State									
3. Estimated date and time of arrival									
4. Purpose(s)									
5. Port and date of last port call									
6. Name of the vessel									
7. Flag State									
8. Type of vessel									
9. International Radio Call Sign									
10. Vessel contact information									
11. Vessel owner(s)									
12. Certificate of registry ID									
13. IMO ship ID, if available									
14. External ID, if available									
15. RFMO ID, if applicable									
16. VMS		No		Yes: National		Yes: RFMO(s)		Type:	
17. Vessel dimensions		Length		Beam		Draft			
18. Vessel master name and nationality									
19. Relevant fishing authorization(s)									
Identifier	Issued by	Validity	Fishing area(s)	Species	Gear				
20. Relevant transshipment authorization(s)									
Identifier	Issued by	Validity							
Identifier	Issued by	Validity							
21. Transshipment information concerning donor vessels									
Date	Location	Name	Flag State	ID number	Species	Product form	Catch area	Quantity	
22. Total catch onboard								23. Catch to be offloaded	
Species	Product form	Catch area	Quantity	Quantity					

Foreign Vessel Seeking Entry into a U.S. Port:

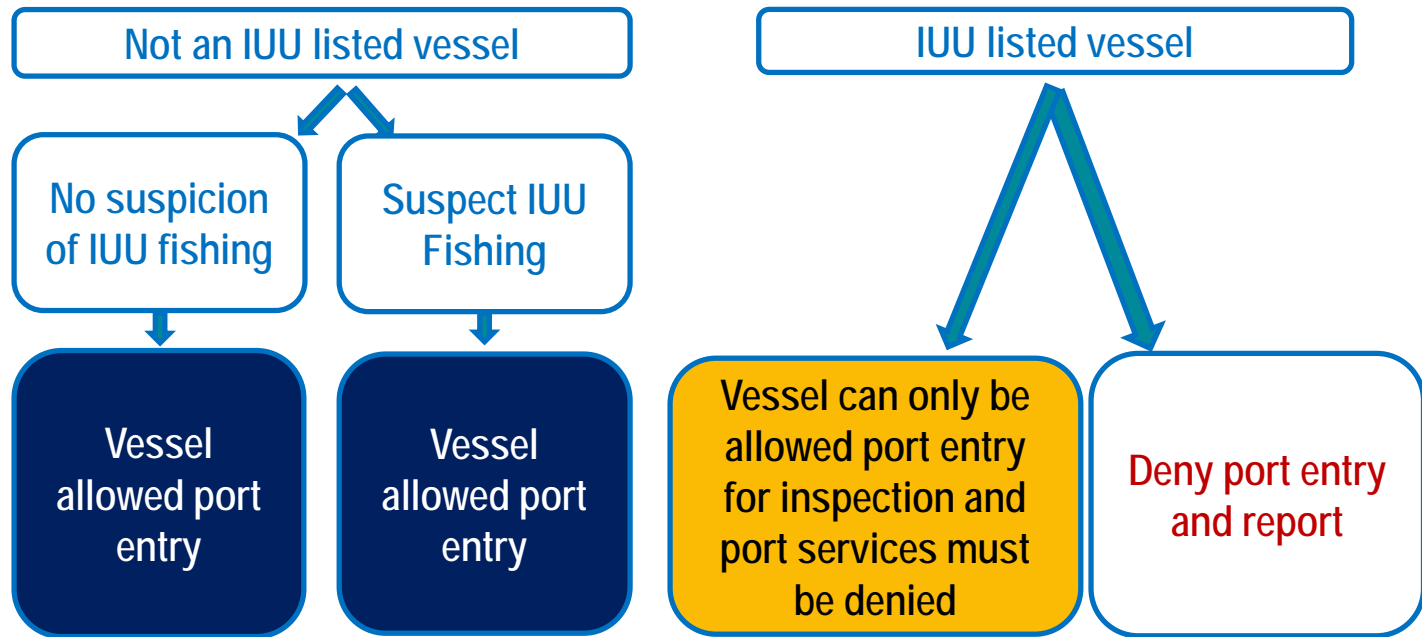
Not a listed IUU vessel

Listed IUU vessel

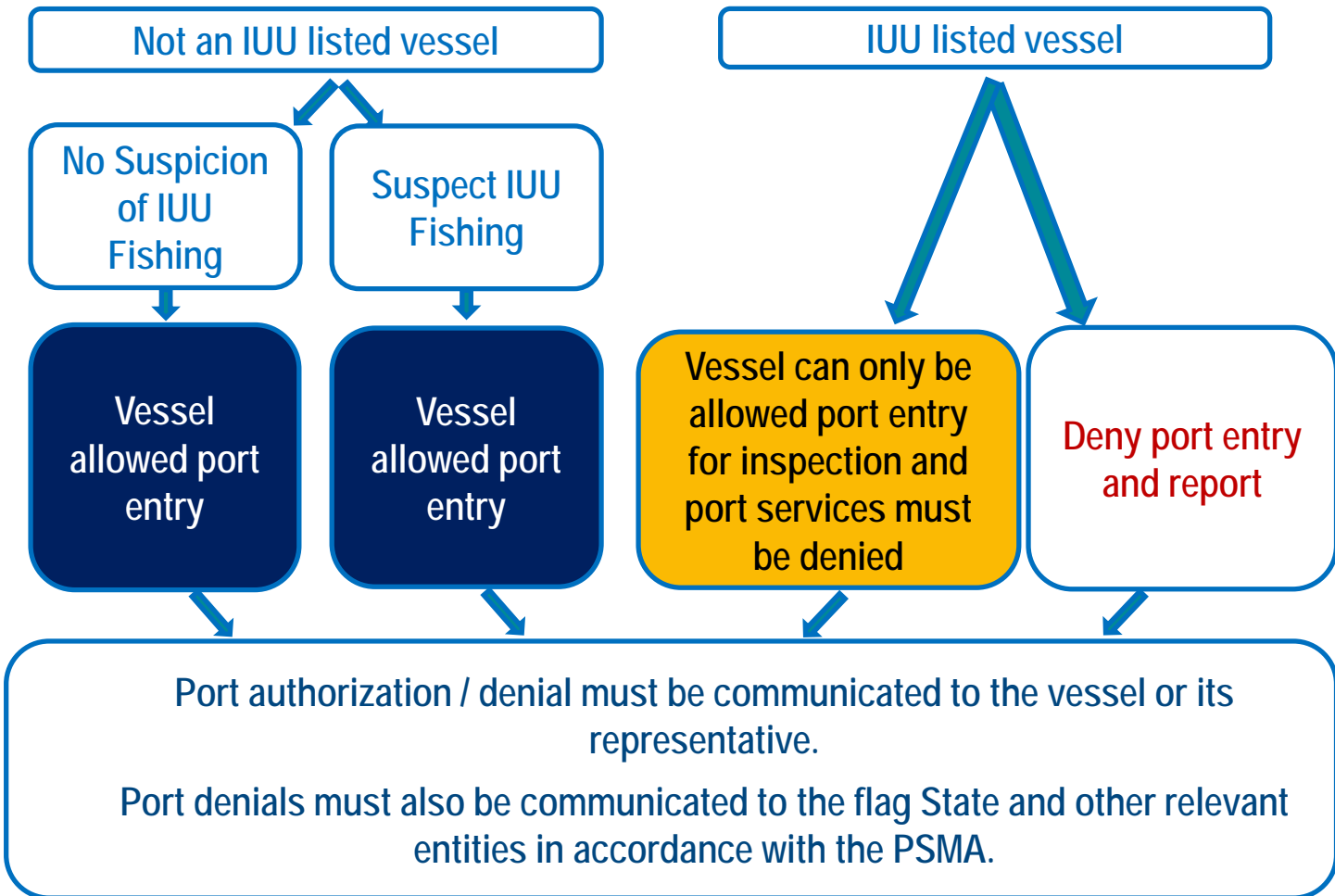
Foreign Vessel Seeking Entry into a U.S. Port:



Results of Advanced Arrival Screening



Results of Advanced Arrival Screening



Prioritizing Inspections

- Vessels denied entry or port use
- Requests from other relevant Parties, States, or RFMOs
- Clear grounds for suspecting IUU fishing or fishing-related activities



Sample Risk Matrix (NEAFC)

RISK	5	4	3	2	1
Flag State	FS 1 FS 2	FS 6 FS 7	Other FS	FS 8 FS 9	FS 3 FS 4 FS 5
Species	Tuna 1 Tooth fish	Mackerel Tuna 2	Shark 1 Tuna 3	Other tunas Other sharks	Other species
Fishing grounds	HS no RFMO Unknown	CS 1, 2, 3 RFMO 1	CS 4 RFMO 2	CS 5, 6	CS 7, 8 RFMO 3, 4
Tracking equipment	No VMS eq. No AIS eq.	AIS/VMS eq. but no data	AIS data	Limited VMS data	VMS data
Vessel information	No data or information available	Data from prev. insp. w/ infring.	Data from prev. insp. no infring.	Basic data from FS	Data from FS and prev. insp. no infring.



Sample Risk Matrix

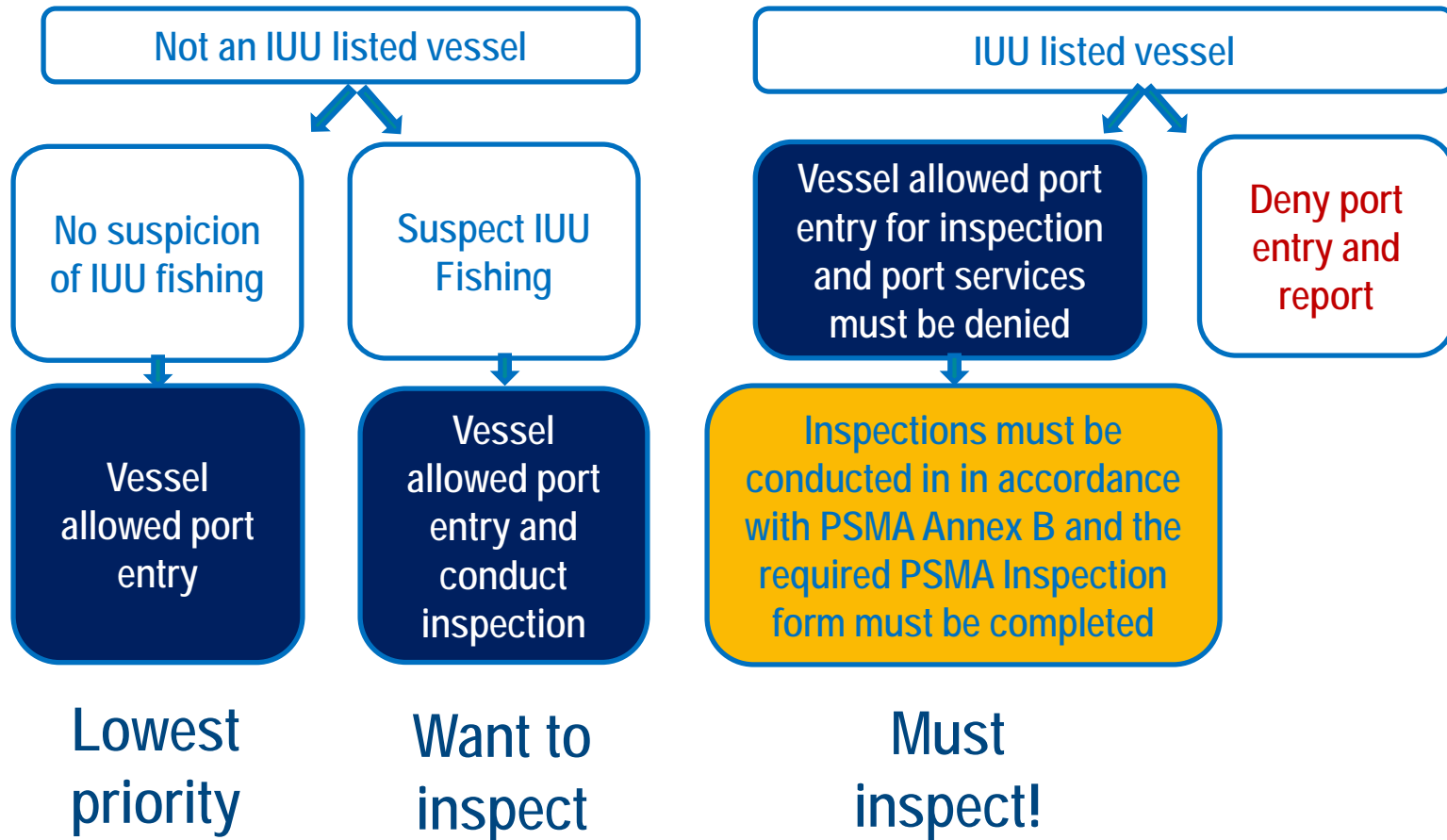
- 5 to 10 = inspection not a priority
- 11 to 15 = moderate priority
- 16 to 20 = high priority
- 21 to 25 = mandatory inspection

Sample Risk Matrix

	Vessel 1	Vessel 2	Vessel 3
Flag State	Country 2 5	Country 5 1	Others 3
Species	Tooth fish 5	Other sharks 2	Mackerel 4
Fishing grounds	Unknown 5	CS 6 2	RFMO 2 3
Tracking equipment	No AIS/VMS 5	VMS data 1	AIS data 3
Vessel information	No data nor information 5	Basic data from FS 2	Data insp. w/ infringing. 4
Risk total	25	8	17



Example: Prioritizing Inspections



Questions?

